



EQUITABLE

Agency profile

Contracting

P.O. Box 1047, Charlotte, NC 28201-1047

LifeContracting@Equitable.com

Toll Free: 1-866-262-6669

Fax: 1-800-657-2911

Equitable Financial Life Insurance Company is the parent company of Equitable Distributors, LLC.

Principal name:			
Social Security number:	National Producer # (NPN):	Date of birth:	
Resident street address:		City:	State: ZIP:
Home telephone:		Email address:	
Company name:			
Company Tax ID#:			
Company address:		City:	State: ZIP:
Company telephone number:		Company fax number:	

Please select an answer for each questions. If the answer is yes, please provide details in the space provided.

FINRA licensed/registered:	Yes	No	Please check your current licensed/registered series if applicable:							
	5	6	7	22	24	26	52	53	56	66

Other(s):	
Broker Dealer Affiliation name:	Registered Representative CRD number:
Have you ever held or currently hold an Equitable contract	Yes No Termination date:

If yes, please provide a producer or agency number:

Please review and check an answer for each question. If yes, a written explanation from the agent is required. Use the comment section below to provide details or send a separate attachment with the agent profile. Failure to provide supporting evidence and/or an explanation will fail or prolong your contracting and appointment process.

Have you ever had your insurance license or securities registration suspended or revoked?	Yes	No
Are there any outstanding or pending judgments or liens filed against you?	Yes	No
Are you involved in any pending or current litigation, investigations or Errors and Omissions claims?	Yes	No
Have you had any Errors and Omissions claims in the past 3 years?	Yes	No
Within the past 5 years, have you ever initiated bankruptcy proceedings or been declared bankrupt?	Yes	No
Within the past 10 years, have you ever had a complaint filed against you?	Yes	No
With the exception of routine traffic violations, have you ever been convicted of or plead guilty or nolo contendere (no contest) in court to a misdemeanor a felony?	Yes	No

Comments:

First Advantage Corporation 2600 Stanwell Drive Suite #100 Concord, CA 94250 Phone #1-800-232-0247. The agent's signature is required below in order for Equitable Distributors to obtain an identity, financial, criminal, and state insurance background verification from First Advantage Corporation. I hereby authorize Equitable Distributors to obtain an investigative consumer report on me. I further authorize any employer, credit bureau, consumer reporting agency or any other custodian of financial, personal or professional information regarding me to release to Equitable Distributors any and all data respecting my duties, personal and professional behavior, credit and financial information. A photocopy of this authorization shall be deemed as valid as the original and this authorization shall remain in full force and effect for a time period of two years from the date hereof. ***I acknowledge that I have read and understand the notices above.***

Signature	Date
Witness	Date

How would you like your agents' compensation paid? Please select one of the following options:

	The General Agency is to receive all commissions and will pay their agents accordingly.
	Equitable will pay your agents' compensation and mail the checks directly to agent.
	Equitable will pay your agents' compensation and mail the checks to your agency for distribution.

How would you like your principal's compensation paid? Please select one of the following options:

	Equitable will pay the compensation directly to your principal.
	Your principal will assign all compensation to the General Agency.
	Selecting this box confirms the commissionable address for the principal's check differs from the company address on page one of the Agency Profile. If the information does differ, please provide the principal's alternate address in the spaces below.

C/O:	Street:	
City:	State:	ZIP:

Agency internal contact information:

	Name	Email	Phone
New Business			
Compensation			
IT			
Licensing			
Sales			
Marketing			

Notes:

