OAKWOOD
CAPITAL SECURITIES

LETTER OF INSTRUCTION

Transfer of Existing Business

Date: _____ **Effective Transfer Date (if different):** _____

This Letter of Instruction ("LOI") is provided to authorize and facilitate the transfer of the existing business associated with _____ from the Old Broker-Dealer identified below to the New Broker-Dealer identified below.

1. Broker-Dealer Identification

OLD BROKER-DEALER	NEW BROKER-DEALER
Name: _____	Name: Oakwood Capital Securities, Inc.
Last 4 digits of BD identifier: _____	Last 4 digits of BD identifier: 9846

2. BGA and Principal Identification

BGA Moving:	_____
BGA SSN - Last 4:	_____
Principal of BGA – Name:	_____
Principal of BGA – SSN Last 4:	_____

3. Scope of Transfer

The Old Broker-Dealer is requested to release and transfer the existing business identified above to the New Broker-Dealer, subject to applicable broker-dealer policies, regulatory requirements, account transfer procedures, and any required client or account documentation.

4. Instructions and Acknowledgment

By signing below, the Old Broker-Dealer and New Broker-Dealer acknowledge the requested transfer and agree to cooperate in good faith to complete the transfer of the identified existing business in accordance with applicable requirements.

OLD BROKER-DEALER	NEW BROKER-DEALER
Name: _____	Name: Oakwood Capital Securities, Inc.
Signature: _____	Signature: _____
Printed Name: _____	Printed Name: _____
Title: _____	Title: _____
Date: _____	Date: _____